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### Abstract

So the paper questions about  
common fluctuations in local  
measurements, and the correlations  
between such fluctuations are  
discussed. It is shown that  
maximal correlations always exist  
between suitably chosen local properties  
operators associated with space-like  
separated regions of space-time for as  
far apart these regions are as we like.  
The connection of this result with  
the well-known fact Peggeler's  
bound showing exponential decay  
of correlations with distance is  
explained, and the relevance  
of the discussion to the question  
'What do particle detectors detect?'  
is addressed.

Question 1

We consider the situation at a fixed time.



# More About About Nothing

## 1. Introduction

In relativistic quantum field theory the vacuum behaves very differently from a global and a local point of view. Globally the vacuum is the state of lowest energy, identified by the zero eigenvalue for particle and anti-particle number operators. So it is a state with no particles in it. But locally it is seething with activity. Charge densities and other local observables exhibit fluctuations and correlations, which produce observable phenomena, such as very accurately predicted contributions to the magnetic moment exhibited by an electron in a magnetic field. In order to understand why the relativistic vacuum behaves in such a remarkable way let us begin by contrasting the situation with non-relativistic quantum field theory. If we quantize the Schrödinger field, we obtain the second-quantized version of the N-particle Schrödinger equation.

The number operator  $N = \int \psi^\dagger(\mathbf{x}) \psi(\mathbf{x}) d^3x$  has eigenvalues  $0, 1, 2, \dots$  associated with definite numbers of particles located somewhere in space. But we can introduce operators  $N_V = \int_V \psi^\dagger(\mathbf{x}) \psi(\mathbf{x}) d^3x$ , associated with the number of particles in a spatial volume  $V$ . For two disjoint volumes  $V$  and  $V'$ ,  $N_V$  and  $N_{V'}$  commute, while both commute with  $N$ . So if we cover the whole of space with a collection of disjoint volumes  $V_i$ , the



we can set up a state of the field associating a definite number  $N_i$  of particles with the volume  $V_i$  so that  $\sum N_i$  sums to the total number of particles  $N$  in that particular state.

The vacuum is the state with  $N=0$  and hence also all the  $N_i$  for any disjoint covering of the whole of space must also be zero. In other words it makes sense to say that the global vacuum is also a local vacuum.

This new consideration belies the global and the local vacuum is what breaks down in relativistic field theories: Attempts to define local number operators for particles and antiparticles  $N_i^\pm$  corresponding to  $N_i$  in the above discussion produce operators which fail to commute for disjoint volumes and don't commute with the total number operators  $N^\pm$ .

So it is no longer possible to have a state of the field which has simultaneously sharp values for the global (total) number operators and also for the local number operators.

In particular the global vacuum, where  $N^\pm=0$ , can no longer be identified as the state where the local number operators have vanishing eigenvalues.

The standard gloss put on this state of affairs in the physics literature is that in relativistic quantum field theory, virtual pairs of particles and



antiparticles can be created locally in the field, and this paradox of local pair creation is what spoils the possibility of sharp values for the local operators  $\psi^\dagger$ .

But this type of interpretation can be potentially misleading. It suggests that these are localized particle states which must in general be superimposed to get the global particle states. I want to argue in this paper for a different sort of interpretation, viz. that in the relativistic theories there are no such thing as localized particle states. That the whole concept of a particle state in relativistic quantum field theory is associated with global aspects of the theory.

There are two lines of argument here

- (1) Particle states arise in quantum field theory via asymptotic scattering states. Such states are associated with definite momentum, but no precise localization.

- (2) Attempts to define an invariant, i.e. objective, position operator for relativistic particles is doomed to failure. Particles, if they can be localized at all, can only be localized in one Lorentz frame. The boosted states are not even localized. This is the essential reason for the superluminal dispersion of localized states discussed by Hegerfeldt.<sup>(2)</sup>



There is no causality violation here, because the ~~the~~ states are not really localized at all, when account is taken of description from different Lorentz frames. <sup>(3)</sup>

In order to assess the status of the local vacuum in relativistic quantum field theory, and its relation to global particle states, I shall now pursue the investigation in the framework of algebraic quantum field theory. <sup>(4)(5)</sup> Here one associates ~~at each~~ algebra of local observables  $R(O)$  with every bounded region  $O$  in space-time.

In addition one assumes a global vacuum state  $\Omega$ , and a Hilbert space  $\mathcal{H}$  in terms of which we can represent the action of a space-time translation  $a$  on the algebra  $R(O)$  in the form

$$R(O+a) = U(a) R(O) U^*(a)$$

Here  $U$  is a unitary operator acting on  $\mathcal{H}$  and  $O+a$  is the image of  $O$  under the translation  $a$ .

It is assumed to be the vacuum state which is invariant under any translation operator  $U(a)$ .

For time-like translations we can exponentiate  $U(a)$  to obtain a Hamiltonian operator which is assumed to be non-negative, i.e. the energy spectrum of the field has no negative elements.

In addition it is customary to exponentiate the ~~quasi-local~~ <sup>global</sup> algebra  $R$ , defined as the

The Union of all the local algebras is  
shall also



smallest von Neumann algebra containing all the local algebras, and we assume that  $\mathcal{R}(O)$  is irreducible and generated by the translates of  $\mathcal{R}(O)$  for any bounded region  $O$ .

There are two <sup>fundamental</sup> important properties of the Net of local algebras  $\{\mathcal{R}(O)\}$ , which we shall assume:

Isotony: For any two <sup>open</sup> bounded sets  $O_1$  and  $O_2$ ,  $O_1 \subseteq O_2 \Rightarrow \mathcal{R}(O_1) \subseteq \mathcal{R}(O_2)$

Locality: For all bounded open sets  $O_1$  and  $O_2$ , if  $O_1$  and  $O_2$  are space-like related (i.e. every point in  $O_1$  is space-like related to every point in  $O_2$ ) then every operator in  $\mathcal{R}(O_1)$  commutes with every operator in  $\mathcal{R}(O_2)$ .

From these postulates we can derive one of the most famous results in axiomatic quantum field theory, the Reeh-Schlieder theorem<sup>(6)</sup> which, as we shall see, is the key to understanding the nature of the vacuum in relativistic quantum field theory.



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2. The Reeh-Schlieder Theorem and its implications  
we first explain what is meant by the claim that  $\Omega$  is cyclic for  $\mathcal{R}(O)$  with respect to the Hilbert space  $\mathcal{H}$ . This just means that  $\{A\Omega : A \in \mathcal{R}(O)\}$  is dense in  $\mathcal{H}$ , or in other words acting on  $\Omega$  with arbitrary elements of  $\mathcal{R}(O)$  can approximate as closely as we like any vector in  $\mathcal{H}$ .

The Reeh-Schlieder theorem just says:  
let  $O$  be any bounded open set. Then  $\Omega$  is cyclic for  $\mathcal{R}(O)$ .

Why is this result so surprising, even paradoxical?

In pre-axiomatic discussions of quantum field theory, the Hilbert space  $\mathcal{H}$  was regarded as being scaffolded by eigenstates of particle number. These eigenstates were themselves all generated from the vacuum states by suitable creation operators. So in other words any vector in  $\mathcal{H}$  could be built up by superimposing the result of suitable operators acting on  $\Omega$ .

In the language of algebraic quantum field theory, this makes it reasonable to assume that acting on  $\Omega$  with suitable elements of the ~~local~~ <sup>SET</sup> algebra  $\mathcal{R}$ , we might expect <sup>at least</sup> to approximate as near as we like any state in  $\mathcal{H}$ .

In other words we could quite expect  $\Omega$  to be cyclic for  $\mathcal{R}$  with respect to  $\mathcal{H}$ .



But the Reeh-Schlieder result is much stronger than that: It claims that  $\mathcal{R}$  is cyclic for  $\mathcal{A}(\mathcal{O})$ , where  $\mathcal{O}$  is an arbitrarily small set in spacetime. So  $\mathcal{O}$  must just be the neighbourhood of some particular point in spacetime. But then, how could acting with the elements of such an  $\mathcal{A}(\mathcal{O}) \subset \mathcal{A}$ , approximate an arbitrary state of the field, if particular ones which looks quite unlike the vacuum in some distant, spacelike separated neighbourhood  $\mathcal{O}'$ , without involving gross violations of locality?

Before discussing the significance of this result and the resolution of the apparent paradox, I first want to draw attention to an important corollary of the Reeh-Schlieder theorem:  $\mathcal{R}$  is not only cyclic for  $\mathcal{A}(\mathcal{O})$ , but is also a separating vector for  $\mathcal{A}(\mathcal{O})$ . What this means is that if  $A \in \mathcal{A}(\mathcal{O})$  then  $A\mathcal{R} = 0 \Rightarrow A = 0$ .

In other words if two elements  $A_1$  and  $A_2$  of a local algebra yield the same vector when acting on  $\mathcal{R}$ , they must be one and the same operator, so  $\mathcal{R}$  is sufficiently rich in structure to discriminate the action of any two distinct elements of any local algebra.

How are we to interpret the Reeh-Schlieder theorem? We begin by making some remarks about the nature of the operators occurring in  $\mathcal{A}(\mathcal{O})$ . First of all there are projection operators, which we shall designate provisionally by  $P$ . These operators have eigenvalues 0 or 1, and we shall



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associate them with the result of performing relative measurement operations by means of experimentally procedure localized in  $O$ .  
by relative we mean the operation of forming ensembles which are homogeneous in respect of <sup>the outcome of</sup> performing the measurement operation in question.

So in the state  $\Omega$  if we perform the operation associated with the projector  $P$  the state after the operation procedure is just  $\frac{P\Omega}{\|P\Omega\|}$ . This is just the familiar projection postulate.

Now in a von Neumann algebra<sup>3</sup> all the operators can be built up by linear combination and limit operations from the projection operators.

This does not mean that if  $A \in R(O)$  then  $P_{A\Omega}$ , i.e. the projector onto the state  $A\Omega$  is itself a member of  $R(O)$ .

Far from it. Consider for example that  $I \in R(O)$ , and the ask, is  $P_I \in R(O)$ ?

The answer is no since  $P_I \in R(O) \Rightarrow I - P_I \in R(O) \Rightarrow (I - P_I)\Omega = 0$

$\Rightarrow P_I = I$  which is impossible since  $P_I$  is a one-dimensional projector, i.e. its range is the one-dimensional ray associated with the vector  $\Omega$ . The fact that  $P_I \notin R(O)$

~~In fact it is~~ means just that it is never a local question to ask 'are we in the state  $\Omega$ ?'. This could only be answered by surveying the whole of space-time, but no local procedure can do this. Similarly if  $\psi$  is a  $N$ -particle state it will be orthogonal to  $\Omega$  so

the corresponding projector  ~~$P_\psi$~~  will satisfy  $P_\psi\Omega = 0$



But this is impossible if  $P_4 \in \mathcal{R}(O)$ ,  
 and by the Reeh-Schlieder condition  
 it would imply  $P_4 = 0$ .

So again it is not a local  
 question to ask 'are we in an  
 N-particle state?' Particle states  
 of which the vacuum is a special  
 case, are essentially non-local objects.

We can actually strengthen the  
 results of the above discussion to  
 obtain the following

**Theorem 1:** If  $P \in \mathcal{R}(O)$  then  $P$  is  
 an infinite-dimensional projector.

**Proof:** This follows directly from the  
 result of Dreesler<sup>+</sup> which states  
 that the quasi-local algebras associated  
 with an <sup>unbounded</sup> wedge of space-time,  
~~identified associated with the exterior of its~~  
~~left cone~~ ~~is~~ ~~a type III factor.~~  
 is a type III factor.

Now any bounded region is  
 interval to some wedge so by  
 isotony  $\mathcal{R}(O)$  is a subalgebra of some  
 wedge algebra. So the projectors in  $\mathcal{R}(O)$   
 are identified with some of the projectors  
 in the wedge algebra. But in a type III  
 factor all the projectors are infinite-  
 dimensional. So all the projectors in  $\mathcal{R}(O)$   
 are infinite-dimensional.

Intuitively what is going on here  
 is that local measurements can never  
 establish what is going on outside  $O$ ,  
~~or in respect of all observables lying outside  $O$ ,~~  
 (at space-like separation), or in respect of all



algebra associated with regions space-like  
situated with respect to 0 the local  
projectors in  $R(0)$  behave like the identity.  
This is what physicists mean by local - commutativity  
or indeed finite - dimensional.

Let us now consider some further properties  
of the local projectors which are members  
of  $R(0)$ .

Define  $p = \text{Prob}^{\Omega} (P \in R(0) = 1)$

so  $p$  is the probability that on the vacuum  
state a local measurement procedure with  
specified outcome will or produce that outcome.

then  $p = \|PR\|^2$  so  $p=0 \Rightarrow PR=0$   
 $\Rightarrow \bar{p}=0$ .

so if  $p \neq 0$  we conclude that  $p \neq 0$ .

In other words we have

Theorem 2 <sup>(8)</sup> Any possible outcome of any  
possible measurement procedure will  
occur with non-vanishing probability  
in the vacuum.

In other words if we place a detector  
in the vacuum designed to  
respond to any arbitrary excitation  
(state) of the field, there is a finite  
probability that it will so respond.

Theorem 2 shows just how 'rich'  
a state the vacuum really is. In  
the long run anything that is possible will  
happen in the vacuum.

Another way of understanding theorem 2  
is that the range of any non-trivial projector



is never orthogonal to the vacuum,  
 i.e. it is never parallel to any  
 particle state. But equally we can  
 deduce that the projector  $I-P$  is never  
 orthogonal to the vacuum i.e. that  
 $P$  is never parallel to the vacuum.  
 Taken together these two results  
 show that non-local local measurements  
 never produce particle states or other  
 indicators of how far removed from  
 any local concept is that of a  
 particle state in relativistic quantum  
 field theory.

So far we have shed no light  
 on the mechanism involved in the  
 Reeh-Schlieder theorem. To do this we  
 must consider the question of vacuum  
 correlations. Suppose we have  
 a projector  $P_2 \in R(O_2)$  and another projector  
 $P_1 \in R(O_1)$ , if the conditional probabilities  
 $\text{Prob}^R(i)$  where  $O_1$  and  $O_2$  were spacelike  
 separated and if the conditional probabilities  
 $\text{Prob}^R(P_2=1/P_1=1)$  were equal to one,  
 then measuring  $P_1$  and selecting a  
 particular outcome would force  $\Omega$  into a  
 state which was not only in the range  
 of  $P_1$  but also in the range of  $P_2$ ,  
 so operations performed in  $O_1$  could  
 produce changes in the state as  
 evaluated in  $O_2$ , by exploiting the  
 perfect correlations between  $P_1$  and  $P_2$ .  
 If this could be achieved whatever  
 the choice of  $P_2$  and remembering that arbitrary  
 operators in  $R(O_2)$  can be built up from  
 projectors, this would give us a dual



as to how arbitrary operations in  $\mathcal{H}$  can be controlled by effectively guarded by operations localized in  $\mathcal{H}_1$ .

As a warming up example let us consider a baby version of the Heisenberg-Schrodinger theorem which applies to two spin-1/2 particles in the singlet state of their total spin.

Our claim is that operations localized in one factor space can change the state to an arbitrary vector in  $\mathcal{H}_1 \otimes \mathcal{H}_2$  where  $\mathcal{H}_1$  and  $\mathcal{H}_2$  are the two-dimensional Hilbert spaces describing the individual spins.

Following <sup>(9)</sup> Licht we want to distinguish clearly two senses of the term 'operation'. Firstly, there are physical operations such as making measurements selecting subensembles according to the outcomes of measurement and mixing ensembles with probabilities weights and secondly there are the mathematical operations of producing superpositions of states by taking linear combinations of pure states produced by appropriate selective measurement procedures. These superpositions are quite different from the physical mixed states prepared by wave preparation and have looked as a physical operation.

In order to produce arbitrary states in  $\mathcal{H}_1 \otimes \mathcal{H}_2$  we have to involve both physical and mathematical operations.

Now let us write the singlet state as follows

$$\frac{1}{\sqrt{2}} (\psi_1 \otimes \psi_2 - \psi_2 \otimes \psi_1)$$

where  $\psi_1, \psi_2$  are the eigenstates of the Pauli spin operator  $\sigma_z$  for particles with



$$|\Psi_{\text{singlet}}\rangle = \frac{1}{\sqrt{2}} \left( |\sigma_{1z}=+1\rangle \otimes |\sigma_{2z}=-1\rangle - |\sigma_{1z}=-1\rangle \otimes |\sigma_{2z}=+1\rangle \right)$$

where  $|\sigma_{1z}=+1\rangle$ ,  $|\sigma_{1z}=-1\rangle$  are the eigenstates of the Pauli spin operator  $\sigma_{1z}$  for particle one with eigenvalues  $+1$  and  $-1$  respectively. Similarly for  $|\sigma_{2z}=+1\rangle$ ,  $|\sigma_{2z}=-1\rangle$  are spin states referring to particle two.

Now if we measure  $\sigma_{1z}$  and select the outcome  $+1$  then we have physically produced the state  $|\sigma_{1z}=+1\rangle \otimes |\sigma_{2z}=-1\rangle$ . Similarly by measuring  $\sigma_{1z}$  and selecting the outcome  $-1$ , we can produce the state  $|\sigma_{1z}=-1\rangle \otimes |\sigma_{2z}=+1\rangle$ .

But referring to the state  $|\sigma_{1z}=+1\rangle \otimes |\sigma_{2z}=-1\rangle$  we can by a further physical procedure produce the state  $|\sigma_{1z}=+1\rangle \otimes |\sigma_{2z}=+1\rangle$ . We just have to apply a magnetic field along the  $y$ -axis to particle one and allow the spin to precess for one half the Larmor period.

Similarly by the physical process of Larmor precession we produce the state  $|\sigma_{1z}=+1\rangle \otimes |\sigma_{2z}=+1\rangle$  from the state  $|\sigma_{1z}=+1\rangle \otimes |\sigma_{2z}=-1\rangle$ . So by means of physical operations on particle one and exploiting the series-image correlations built into  $|\Psi_{\text{singlet}}\rangle$  we can produce the states  $|\sigma_{1z}=+1\rangle \otimes |\sigma_{2z}=+1\rangle$ ,  $|\sigma_{1z}=+1\rangle \otimes |\sigma_{2z}=-1\rangle$ ,  $|\sigma_{1z}=-1\rangle \otimes |\sigma_{2z}=+1\rangle$  and  $|\sigma_{1z}=-1\rangle \otimes |\sigma_{2z}=-1\rangle$  from the joint



\*Question: But all the operations we have described can be represented by the algebra of operators on  $\mathcal{H}_1$ . So, if we denote the (von Neumann) algebra of operators on  $\mathcal{H}_1$  by  $\mathcal{R}_1$  (and similarly the algebra of operators on  $\mathcal{H}_2$  by  $\mathcal{R}_2$ ) then the baby Fock-Schlieder R-S theorem can be formulated as

$$\forall \phi \in \mathcal{H}_1 \otimes \mathcal{H}_2, \exists A_1 \in \mathcal{R}_1 \text{ s.t. } |\phi\rangle = A_1 |\psi\rangle_{\text{singlet}}$$

and similarly of course.

$$\exists A_2 \in \mathcal{R}_2 \text{ s.t. } |\phi\rangle = A_2 |\psi\rangle_{\text{singlet}}$$

For the moment let us <sup>continue to</sup> concentrate on the first part of the theorem, generating arbitrary states on  $\mathcal{H}_1 \otimes \mathcal{H}_2$  by operations on  $\mathcal{H}_1$ .

Question  
II

Thus denoting the projectors on  $\mathcal{H}_1$  resulting from the measurement of  $S_{1z}$  by  $P^\pm$  at the  $180^\circ$  rotation of the spin by  $\pi$ , we are claiming that for any state  $|\phi\rangle$  on  $\mathcal{H}_1 \otimes \mathcal{H}_2$ ,  $|\phi\rangle$  can be written in the form  $A_1 |\psi\rangle_{\text{singlet}}$ , where  $A_1 = \alpha P_1^+ + \beta Q P_1^+ + \gamma P_1^- + \delta Q P_1^-$  for suitable choice of the complex coefficients  $\alpha, \beta, \gamma$  and  $\delta$ .



system.

But only state of joint system is some linear combination of the four states so by the mathematical operation of linear combination, we can see how to generate an arbitrary state in  $\mathcal{H}_1 \otimes \mathcal{H}_2$  by a combination of four physical operations performed on particles <sup>independently</sup> ~~ind. x~~.  
~~This is not Baby Bell-Schlegel theorem.~~

The important property of  $|\Psi_{\text{singlet}}\rangle$  we have used in the argument is the maximal correlations. In terms of projector operators, writing  $P_1^{\pm} = \frac{1}{2}(1 \pm \sigma_{1z})$  and  $P_2^{\pm} = \frac{1}{2}(1 \pm \sigma_{2z})$  we are exploiting the fact that

$$\text{Prob}^{\Psi_{\text{singlet}}}(P_2^+ = 1 | P_1^+ = 1) = 1 \quad (2)$$

Clearly if we had any joint state in  $\mathcal{H}_1 \otimes \mathcal{H}_2$  for which (2) was true, where  $P_i^{\pm}$  are any pair of orthogonal <sup>distinct</sup> projectors in  $\mathcal{H}_i$  and  $P_j^{\pm}$  are any pair of orthogonal <sup>distinct</sup> projectors in  $\mathcal{H}_j$ , we could prove the Baby B-S theorem.

Putting the matter slightly differently, a sufficient condition for the Baby B-S theorem to hold is: in state  $|\Psi\rangle$  is:

$$\forall P_2, \exists P_1 \text{ s.t. } \text{Prob}^{\Psi}(P_2 | P_1) = 1 \quad (3)$$

where we have abbreviated  $\text{Prob}^{\Psi}(P_2 = 1 | P_1 = 1)$  by  $\text{Prob}^{\Psi}(P_2 | P_1)$



$$\text{Now } \text{Prob}^4(P_2/P_1) = \text{Prob}(P_2=1)$$

$$= \langle P_2 \rangle_{P_{1,4}/P_{1,4,11}}$$

$$= \langle P, P_2 \rangle_4 / \langle P_1 \rangle_4 \quad \text{--- (4)}$$

where we have used the fact that  $P_1$  and  $P_2$  commute.

Eq. (4) is just the usual expression for a conditional probability as the ratio of a joint probability and a marginal.

So condition (3) can be written as follows

$$\forall P_2, \exists P_1 \text{ s.t. } \langle P, P_2 \rangle_4 = \langle P_1 \rangle_4 \quad \text{--- (3')}$$

Let us now express (3') as a condition on the correlation coefficient  $C(P_1, P_2)$  between  $P_1$  and  $P_2$ .

We have

$$C(P_1, P_2) = \frac{\langle P_1 P_2 \rangle_4 - \langle P_1 \rangle_4 \langle P_2 \rangle_4}{[\langle P_1 \rangle_4 (1 - \langle P_1 \rangle_4) \langle P_2 \rangle_4 (1 - \langle P_2 \rangle_4)]^{1/2}}$$

So condition (3') becomes

$\forall P_2, \exists P_1$  s.t.

$$C(P_1, P_2) = \left( \frac{\langle P_1 \rangle_4 (1 - \langle P_2 \rangle_4)}{\langle P_2 \rangle_4 (1 - \langle P_1 \rangle_4)} \right)^{1/2} \quad \text{--- (3'')}$$



Wieder

Theorem 5: The baby P-S theorem implies that

$$\forall P_2, \exists P_1 \text{ s.t.}$$

$$\langle \rho_1 \rho_2 \rangle_4 \neq \langle \rho_1 \rangle_4 \langle \rho_2 \rangle_4$$

We assume that  $\rho_2$  is non-trivial,  
 i.e. we exclude  $\rho_2 = 0$  or  $1$  for  
 which Theorem 5 clearly fails.

proof ~~Assume~~ Assume  $\langle P_1, P_2 \rangle_4 = \langle P_1 \rangle_4 \langle P_2 \rangle_4$   
for some given projectors  $P_2 \in R_2$   
and for all projectors  $P_1 \in R_1$ .

let  $P_2 = P_2 - \langle P_2 \rangle_{\text{ref}} I$

then  $\langle \hat{P}_1, \hat{P}_2 \rangle_4 = 0, \forall P_i \in \mathcal{P}_1$

So  $\hat{P}_a |4\rangle$  is orthogonal to  $P_1 |4\rangle$

$\forall P_1 \in R_1$ . But since any operator  
 $A_2 \in R_2$  is a composition of projectors  
 it follows that  $P_2 | \psi \rangle$  is orthogonal  
 to  $A_1 | \psi \rangle$  for  $\forall A_1 \in R_1$ . But  
 from the Boly R-S theorem any vector in  $V$   
 is equal to  $A_1 | \psi \rangle$  for some  $A_1$ .

So we conclude that  $P_{1/4}$  is orthogonal to every vector in  $P$  which implies  $P_{1/4} = 0$ .

But from the sheet -  $P_1 = 0$  or  $P_1 = L(P_2) \cdot I$ , which is all possible if  $P_1 = 0$  or  $I$ . So again, extra positively, for any non-trivial  $P_2$ , Theorem 5 is established.

we now show how to strengthen Theorem 5 to produce Theorem 4.

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Notice that (3'') does not say

$$C(P_1, P_2) =$$

$$\forall P_2, \exists P_1, \text{ st. } C(P_1, P_2) = 1$$

There only applies when  $\langle P_1 \rangle_u = \langle P_2 \rangle_u$ ,

a condition as what is satisfied in the singleton state example but is by no means necessary in order to prove the baby R-S theorem.

So far we have established (3') as a sufficient condition for deriving the first part baby R-S theorem. We now want to demonstrate

Theorem 4: Condition (3'') is a necessary condition for proving the baby R-S theorem.

In other words from the baby R-S theorem as an assumption we can still now prove condition (3').

\* Sketch

Let  $| \psi \rangle$  be some state for which the baby R-S theorem is true. Denote by  $R_1$  the von Neumann algebra of operators on  $H_1$ , and  $R_2$  the algebra of operators on  $H_2$ . Because  $H_1$  and  $H_2$  are finite-dimensional,  $R_1$  and  $R_2$  are trivially von Neumann algebras. ~~Then the baby R-S theorem says~~  
 $\forall | \psi \rangle \in H_1 \otimes H_2, \exists A_1 \in R_1, \text{ st. } | \psi \rangle \neq A_1 | \psi \rangle$



Proof

It from book of previous page.

The proof is very straightforward.  
For any  $A_1 \in \mathcal{H}_1$ ,  $A_2 \in \mathcal{H}_2$ ,  $\langle A_2 | \psi \rangle = 0$  for  
if  $|\psi\rangle$  is any vector in  $\mathcal{H}_1 \otimes \mathcal{H}_2$   
 $\exists A_1$  s.t.  $|\psi\rangle = A_1 |\psi\rangle$  so  
 $A_2 |\psi\rangle = A_2 A_1 |\psi\rangle = A_1 A_2 |\psi\rangle = 0$ .  
Since  $|\psi\rangle$  is an arbitrary vector,  
it follows that  $A_2 = 0$ .



choose  $|\phi\rangle = P_2 |\psi\rangle / \|P_2 |\psi\rangle\|$  17  
(4)

then by construction

$$\langle P_2 \rangle_\phi = 1 \quad (5)$$

denote by  $C$  an operator on  $H_1$  for which

$$|\phi\rangle = C |\psi\rangle \quad (6)$$

The existence of such a  $C$  is guaranteed by the baby R-S theorem.

It follows that from (6) and (5)

$$\langle \psi | Q P_2 | \psi \rangle = 1 \quad (7)$$

where  $Q = C^* C$

Since  $Q$  is a <sup>positive</sup> Hermitian operator on  $H_1$ , we can expand

$$Q = \lambda_1 P_1 + \lambda_1' P_1' \quad (8)$$

from <sup>positive</sup> where  $\lambda_1, \lambda_1'$  are <sup>positive</sup> real eigenvalues of  $Q$ , and  $P_1, P_1'$  are orthogonal projections on  $H_1$ .

Substituting (8) in (7) yields

$$\langle P_2 \rangle_\psi = \lambda_1 \frac{\langle P_1 P_2 \rangle_\psi}{\langle P_1 \rangle_\psi} + \lambda_1' \frac{\langle P_1' P_2 \rangle_\psi}{\langle P_1' \rangle_\psi} = 1 \quad (9)$$



where  $w_1 = \lambda_1 \langle P_1 \rangle_4$

$w_2 = \lambda_2 \langle P_1' \rangle_4$

But from (4)  $\| |\Phi\rangle \| = 1$

Hence from (6)  $\| C|4\rangle \| = 1$

which implies  $\langle 4 | \Phi | 4 \rangle = 1$

$$\text{i.e. } \lambda_1 \langle P_1 \rangle_4 + \lambda_1' \langle P_1' \rangle_4 = 1$$

$$\text{or } w_1 + w_2 = 1 \quad \dots \dots \dots (10)$$

where  $w_1 \geq 0$  and  $w_2 \geq 0$ .

From now, using (10), ~~20~~ L.H.S

$$\text{L.H.S. (9)} \leq \text{Max} \left( \frac{\langle P_1 P_2 \rangle_4}{\langle P_1 \rangle_4}, \frac{\langle P_1' P_2 \rangle_4}{\langle P_1' \rangle_4} \right)$$

So in order to satisfy (9) we require

$$\text{Max} \left( \frac{\langle P_1 P_2 \rangle_4}{\langle P_1 \rangle_4}, \frac{\langle P_1' P_2 \rangle_4}{\langle P_1' \rangle_4} \right) = 1 \quad \dots \dots (11)$$

That is to say, one or other (or both) of  $P_1$  and  $P_1'$  satisfy (3') or by 'extended' generalization (3')<sup>2</sup> is true, Q.E.D.

What Theorem 4 shows is that from the Colby R-S theorem we can deduce



that given any projector on  $\mathcal{H}_2$  there always exists a projector on  $\mathcal{H}_1$  which is not only correlated with it, but is maximally correlated, ~~the~~ subject to fixed values of  $\langle P_1 \rangle_4$  and  $\langle P_2 \rangle_4$ , i.e. achieves the value given in (3'').

Now ~~all~~ that conditions generalizing nicely to the full theory case, if we remember that the Koch-Schlieder theorem asserts not that any state in  $\mathcal{H}$  can be generated from the vacuum, but only that any ~~the~~ state can be approximated as closely as we like (in norm) by acting on the vacuum with elements of  $R(0)$ .

So Theorem 4 becomes.

Theorem 4':  $\downarrow$  For any two spacelike separated bounded operators  $O_1$  and  $O_2$ ,  
 $\forall \epsilon > 0, \forall P_2 \in R(O_2)$   
 $\exists P_1 \in R(O_1)$  s.t.

$$\langle P_1 + P_2 \rangle_2 \geq (1 - \epsilon) \langle P_1 \rangle_2$$

Remark \*

We leave it as an exercise to the interested reader to provide the necessary spinorics to prove Theorem 4'. It follows as an ~~easy~~ ~~straightforward~~ ~~consequence~~ of a general result proved as Theorem 4 in Licht's <sup>(9)</sup> 1966 paper. But Licht does not seem to be aware of this Corollary or its implications).



Question \* The formal proof is sketched in the Appendix. 1

Appendix, Proof of Theorem 4

Proof: Choose  $\phi = \frac{P_2 \psi}{\|P_2 \psi\|}$

so by construction  $\langle P_2 \rangle_\phi = 1$  and  ~~$\|\phi\| = 1$~~

Then, by the Peetre-Schlieder theorem,

$\forall \varepsilon > 0, \exists c, \varepsilon \in \mathcal{R}(\mathcal{O}_1)$  s.t.  $\|\phi' - \phi\| < \varepsilon$

where  $\phi' = c, \Omega$

As a preliminary remark

we first remark that  $c, \Omega$  can additionally be chosen so as to make  $\|\phi'\| = 1$ .

To see this, introduce  $\phi'' = \phi' / \|\phi'\|$

so by construction  $\|\phi''\| = 1$

~~Then  $\|\phi'' - \phi\| < \varepsilon$~~

Then, from  $\|\phi' - \phi\| < \varepsilon$ , we can deduce

$$\|\phi'' - \phi\| < \varepsilon' = \frac{\varepsilon \sqrt{2(1+\varepsilon)}}{1-\varepsilon}$$

So reverting to  $\phi'$  in place of  $\phi''$  and  $\varepsilon$  in place of  $\varepsilon'$ , the lemma is proved.

We next note that

$$\langle P_2 \rangle_{\phi'} > 1 - 2\varepsilon$$

This follows at once from the inequality

$$|\langle P_2 \rangle_{\phi'} - \langle P_2 \rangle_\phi| \leq \|\phi'\| \cdot \|\phi' - \phi\| + \|\phi' - \phi\| \cdot \|\phi\|$$



Now consider write

$$\langle P_2 \rangle_{\psi'} = \langle Q_1 P_2 \rangle_{\Omega}$$

where  $Q_1 = C_1^* C_1$  is bounded, self-adjoint and positive.  $Q_1$  may be approximated arbitrarily closely by a finite sum of its spectral projections. What this means is that we can choose an operator  $Q_1'$  such that  $Q_1' = \sum_{i=1}^n \lambda_i P_i$

where  $\lambda_i \geq 0$ ,  $P_i$  are projection operators in  $\mathcal{R}(\Omega)$ ,  $n$  is a finite integer and  $\forall \epsilon > 0, \|Q_1' - Q_1\| < \epsilon$ .

In general  $\langle Q_1' \rangle_{\Omega} \neq 1$ , but as in our previous lemma we can always adjust  $Q_1'$ , simply by dividing it by  $\langle Q_1' \rangle_{\Omega}$  so that the additional condition  $\langle Q_1' \rangle_{\Omega} = 1$  is satisfied.

This means that we can always arrange that

$$\sum_{i=1}^n \lambda_i \langle P_i \rangle_{\Omega} = 1$$

Now consider  $\langle Q_1' P_2 \rangle_{\Omega}$

Since  $|\langle Q_1' P_2 \rangle_{\Omega} - \langle Q_1 P_2 \rangle_{\Omega}|$ ,

$$= |\langle (Q_1' - Q_1) P_2 \rangle_{\Omega}| < \epsilon$$

it follows that  $\langle Q_1' P_2 \rangle_{\Omega} > \langle Q_1 P_2 \rangle_{\Omega} - \epsilon$ ,  
 $= \langle P_2 \rangle_{\psi'} - \epsilon > 1 - 2\epsilon - \epsilon$



$$\text{But } \langle \theta, \rho_2 \rangle_{\mathcal{H}} = \sum_{i=1}^n \frac{w_i \langle \rho_1^i, \rho_2 \rangle_{\mathcal{H}}}{\langle \rho_1^i \rangle_{\mathcal{H}}}$$

$$\text{where } w_i = \lambda_i \langle \rho_1^i \rangle_{\mathcal{H}}$$

$$\text{and hence } \sum_{i=1}^n w_i = 1$$

$$\text{So } \langle \theta, \rho_2 \rangle_{\mathcal{H}} \leq \max \left\{ \frac{\langle \rho_1^i, \rho_2 \rangle_{\mathcal{H}}}{\langle \rho_1^i \rangle_{\mathcal{H}}} \right\}$$

~~But each quantity in the set is  $\leq 1$~~

Thus ~~it~~ it follows that

$$\max \left\{ \frac{\langle \rho_1^i, \rho_2 \rangle_{\mathcal{H}}}{\langle \rho_1^i \rangle_{\mathcal{H}}} \right\} > 1 - 2\varepsilon - \varepsilon'$$

or replacing  $2\varepsilon + \varepsilon'$  by  $\varepsilon$  we obtain finally that one of more of  $\langle \rho_1^i, \rho_2 \rangle_{\mathcal{H}} / \langle \rho_1^i \rangle_{\mathcal{H}}$  is greater than  $1 - \varepsilon$  from which the theorem follows immediately



3

### Conclusions On the distance-dependence of <sup>Vacuum</sup> correlations

It is important to realize that vacuum correlations are not independent of distance, as in Bell-type correlations, but fall off exponentially with distance on a scale set by the Compton wavelength of a massive field, i.e. the de Broglie wavelength of a photon field. <sup>(12,13)</sup> Thus it is well known that vacuum correlations maximally violate the Bell inequality <sup>(12,13)</sup> achieving the so-called Cirelson bound of  $2\sqrt{2}$  against  $2$  as enforced by the classical limit of  $2$  for the Bell inequalities. But the violation falls off exponentially with distance so making a source-free variant of the Bell experiment infeasible from the practical point of view.

Do these results conflict with our claim that independent of distances maximal correlations always exist?

In order to investigate this further, we consider the Fredenhagen bound on the correlations.

Applied to projectors  $P_1 \in \mathcal{R}(O_1)$  and  $P_2 \in \mathcal{R}(O_2)$  Fredenhagen's theorem <sup>(10)</sup> says:

$$\langle P_1 P_2 \rangle_R - \langle P_1 \rangle_R \cdot \langle P_2 \rangle_R$$

$$\leq e^{-m\tau} \sqrt{\|P_1 R\|^2 \cdot \|P_2 R\|^2}$$

$$\leq e^{-m\ell} \leq e^{-m\tau} \|P_1 R\| \cdot \|P_2 R\| \quad - - (12)$$

where  $m$  is the mass-gap between the vacuum and the lowest excited state



minimum length distance between space-like separated  
 $l$  is the spatial separation of the regions  
 $O_1$  and  $O_2$  (at  $c=1$ )

From (12) we obtain immediately the following  
 bound on the correlation coefficient:

$$c(P_1, P_2) \leq e^{-ml} \cdot \frac{1}{\sqrt{(1-\langle P_1 \rangle_R) \cdot (1-\langle P_2 \rangle_R)}} \quad (13)$$

Comparing (13) with (3'') we see  
 that consistency of ~~the~~ our  
 two results requires

$$\langle P_1 \rangle_R \leq \frac{e^{-2ml} \langle P_2 \rangle_R}{(1-\langle P_2 \rangle_R)^2} \quad (14)$$

In other words the  $P_1$  whose existence  
 is asserted in (3'') must also  
 satisfy (14) as a result of Federbush's  
 theorem.

So, for given  $\langle P_2 \rangle_R$  the maximally  
 correlated  $P_1$  a given probability of  $P_2$   
 happening the probability maximally  
 correlated  $P_1$  must have a probability  
 of occurring that falls off exponentially  
 with its distance between  $O_1$  and  $O_2$ .

This again shows how difficult  
 it would be in practice to observe  
 the long range correlations in the vacuum.

But of course it does not show  
 that they don't exist!

How might such small probabilities for the  
 materials correlated  $P_1$  arise to begin with?  
 P.T.O



These <sup>considering</sup> ~~results~~ are ~~about~~ closely  
related to the well-known results  
of Landon<sup>(12,13)</sup>, showing that the  
vacuum correlations ~~may~~ <sup>may</sup> violate the Bell inequalities.

Landon<sup>(12)</sup> chooses three arbitrary  
space-like separated regions and shows  
that local predictions can always  
be shown in these three  
regions to as to produce a  
maximal violation of the Bell  
inequality. Our own analysis is  
not directly addressed to the  
greater & classical reconstruction of  
PQFT along hidden-variable lines  
but aims to discuss the violations  
themselves.



As a fairly heuristic remark one notes that in any local algebra  $R(O)$  one can always find a sequence of mutually spacelike separated regions  $O^1, O^2, O^3, \dots$  such that  $P = P^1 \cdot P^2 \cdot P^3 \dots P^N$  is a member of  $R(O)$  while  $P^1 \in R(O^1)$ ,  $P^2 \in R(O^2)$  etc. If we assume statistical independence of  $P^1, P^2, \dots$ , since  $\langle P^i \rangle \leq 1$ , it follows that  $\langle P \rangle_N$  will get smaller and smaller as  $N$  increases.  $N$  is made larger and larger. In other words, the possible candidates for a projector with a small probability of occurring is and joint state which is emitted with a joint measurement of a sequence of projectors in disjoint spacelike separated regions, which are statistically independent.



\* Some of these excitations exhibit particle-like properties



4 Conclusion What is being detected by a local measurement in the vacuum?  
We have argued that it is not a particle but a local field observable in the pure mode space in algebraic quantum field theory.  
But there are other answers to this question in the literature, which we want to discuss briefly.

In his 1992 book Local Physics Haag<sup>(5)</sup> describes the approach of himself and his collaborators.  $N$ -particle states are ones in which  $N$ -field excitations, but no higher order, exist in the field. However Haag admits that these particle states he is characterizing are not strictly local, but spread over non-vanishing extended over extended regions. So from the point of view of local physics in Haag's sense strictly speaking particles are never observable — they are an idealization which lead to a plethora of inconsistencies about what is going on in quantum field theory. The thing is about fields and their local excitations\*. That is all there is to it.



Question

\* , Jeremy Butterfield, Guido Baccaglini  
and Thomas Breuer



who in particular ~~who~~ suggested the proof of Theorem 5 23

Acknowledgements I am very grateful to David Talman, Rob. Clifton, and Nicolas Bedini<sup>xm</sup> for discussing this paper and discussing on these issues.

The paper is dedicated to Jean-Pierre Vigez, whose example <sup>into</sup> start the notes of the quarterly vacuum have inspired his students and colleagues alike.



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To prove  $\forall P_2 \exists P_1$  st.  $\langle P_2 P_1 \rangle_2 > (1-\epsilon) \langle P_1 \rangle_2$

1. Note  $|\langle \phi | \psi \rangle| \leq \|\phi\| \cdot \|\psi\|$ .

Proof  $|\langle \phi | \psi \rangle|$   ~~$(\langle \phi | - \langle \psi |)(\psi - \phi) \geq 0$~~

$\therefore \langle \phi | \phi \rangle + \langle \psi | \psi \rangle - 2 \operatorname{Re} \langle \phi | \psi \rangle \geq 0$

$\therefore \operatorname{Re} \langle \phi | \psi \rangle \leq \frac{1}{2} (\langle \phi | \phi \rangle + \langle \psi | \psi \rangle)$

Now  $(\sqrt{\langle \phi | \phi \rangle} - \sqrt{\langle \psi | \psi \rangle})^2 \geq 0$

$\therefore \langle \phi | \phi \rangle + \langle \psi | \psi \rangle - 2 \|\phi\| \cdot \|\psi\| \geq 0$

$\therefore \|\phi\| \cdot \|\psi\| \leq \frac{1}{2} (\langle \phi | \phi \rangle + \langle \psi | \psi \rangle)$

This is equivalent to  $\langle \phi | \psi \rangle \langle \psi | \phi \rangle \leq \langle \phi | \phi \rangle \cdot \langle \psi | \psi \rangle$

2. Note  $\|x+y\| \leq \|x\| + \|y\|$  ✓

Also  $\|x-y\| \geq |\|x\| - \|y\||$  ✓

So  $|\|x\| - \|y\|| \leq \|x+y\| \leq \|x\| + \|y\|$

Now we have  $\|Tx\| \leq \|T\| \cdot \|x\|$ .

and  $\|T^*\| = \|T\|$

Consider  $\langle P_2 \rangle_\psi = 1$  where  $\psi = \frac{P_2 \sqrt{2}}{\|P_2 \sqrt{2}\|}$   $\|\psi\| = 1$

Now take  $\psi'$  where  $\|\psi'\| = 1$  and  $\psi' = C_1 \sqrt{2}$

and  $\|\psi' - \psi\| \leq \epsilon_1$

then  $\langle P_2 \rangle_{\psi'} = \langle \psi' | P_2 | \psi' \rangle \leq 1$   
 since  $P_2 + (1 - P_2) = 1$

and  $\langle P_2 \rangle_{\psi'} \geq 1 - \epsilon'$  ————— (1)

find  $|\langle P_2 \rangle_{\psi'} - \langle P_2 \rangle_\psi|$   
 $= |\langle \psi' | P_2 | \psi' \rangle - \langle \psi | P_2 | \psi \rangle|$   
 $= |\langle \psi' | P_2 | \psi' \rangle - \langle \psi' | P_2 | \psi \rangle + \langle \psi' | P_2 | \psi \rangle - \langle \psi | P_2 | \psi \rangle|$   
 $= |\langle \psi' | P_2 | \Delta \psi \rangle + \langle \Delta \psi | P_2 | \psi \rangle|$   
 where  $\Delta \psi = \psi' - \psi$   
 $\leq |\langle \psi' | P_2 | \Delta \psi \rangle| + |\langle \Delta \psi | P_2 | \psi \rangle|$   
 $\leq \underbrace{\|\psi'\|}_{\leq 1} \cdot \|\Delta \psi\| + \|\Delta \psi\| \cdot \underbrace{\|\psi\|}_{\leq 1}$

$= 2 \|\Delta \psi\| = 2 \epsilon_1$

So choose  $\epsilon' = 2 \epsilon_1$

Then (1) follows.

Now Consider  $\langle P_2 \rangle_{\psi'} = \langle Q P_2 \rangle_\psi$   $Q = e^{+iC}$

and compare  $\langle Q' P_2 \rangle_\psi$  where  $Q' = \sum_{i=1}^n 2^i P_i$   
 $Q = \int_0^{\|Q'\|} 2 \, dP(\lambda)$

then  $\|Q' - Q\| \leq \epsilon_2$



$$\begin{aligned}
& \leq | \langle Q P_2 \rangle_\psi - \langle Q' P_2 \rangle_\psi | \\
& = | \langle \psi | Q P_2 | \psi \rangle - \langle \psi | Q' P_2 | \psi \rangle | \\
& = | \langle \psi | (Q - Q') P_2 | \psi \rangle |
\end{aligned}$$

$$\leq \underbrace{\|Q\|}_{=1} \cdot \varepsilon_2 \underbrace{\|\psi\|}_{=1} \quad \varepsilon_2 = \varepsilon_2.$$

$$\begin{aligned}
\therefore \langle Q' P_2 \rangle_\psi & \geq \langle Q P_2 \rangle_\psi - \varepsilon_2 \\
& = \langle P_2 \rangle_{\psi'} - \varepsilon_2 \\
& \geq 1 - 2\varepsilon_1 - \varepsilon_2 \\
& = 1 - \varepsilon \quad \text{where } \varepsilon = 2\varepsilon_1 + \varepsilon_2.
\end{aligned}$$

$$\text{But } \langle Q' P_2 \rangle_\psi = \sum w_i \frac{\langle P_i P_2 \rangle_\psi}{\langle P_i \rangle_\psi}$$

$$\text{where } w_i = \lambda_i \langle P_i \rangle_\psi$$

$$\therefore \sum w_i = \langle Q' \rangle_\psi \leq 1 + \varepsilon_2.$$

$$\begin{aligned}
& \leq \sum w_i \max \left( \frac{\langle P_i P_2 \rangle_\psi}{\langle P_i \rangle_\psi} \right) \\
& \leq (1 + \varepsilon_2) \max \left( \frac{\langle P_i P_2 \rangle_\psi}{\langle P_i \rangle_\psi} \right)
\end{aligned}$$

$$\therefore \text{we require for consistency writing } x = \max \frac{\langle P_i P_2 \rangle_\psi}{\langle P_i \rangle_\psi}$$

$$(1 + \varepsilon_2) x \geq 1 - 2\varepsilon_1 - \varepsilon_2.$$

$$\therefore x \geq \frac{1 - 2\varepsilon_1 - \varepsilon_2}{1 + \varepsilon_2} \geq \frac{(1 - 2\varepsilon_1 - \varepsilon_2)(1 - \varepsilon_2)}{(1 + \varepsilon_2)(1 - \varepsilon_2)}$$

$$= \frac{1 - 2\varepsilon_1 - 2\varepsilon_2 + 2\varepsilon_1\varepsilon_2 + \varepsilon_2^2}{1 - \varepsilon_2^2}$$

$$\text{where } \varepsilon = 2\varepsilon_1 + 2\varepsilon_2 - 2\varepsilon_1\varepsilon_2 - \varepsilon_2^2$$

Suppose we ~~have~~  $\|z' - z\| \leq \varepsilon$  (1)

where  $\|z'\| \neq 1$

Then replace  $z'$  by  $z'' = z' / \|z'\|$

as we know  $\|z''\| = 1$  by construction

and  $\|z'' - z\| \geq |\|z''\| - \|z\|| = 0$

$$\text{But } \|z'' - z\| = \left\| \frac{z'}{\|z'\|} - z \right\|$$

$$\text{as from (1) } |\|z'\| - \|z\|| \leq \varepsilon$$

$$\therefore |\|z'\| - 1| \leq \varepsilon$$

$$\begin{aligned} \|z'\| &\geq 1 - \varepsilon \\ \text{or} \quad \|z'\| &\leq 1 + \varepsilon \end{aligned}$$

$$\therefore z'' = \frac{z'}{1 \pm \varepsilon}$$

$$\|z'' - z\| = \left\| \frac{z' - qz}{q} \right\|$$

$$\text{where } q = 1 \pm \varepsilon, \quad = \frac{1}{q} \|z' - qz\|$$

$$= \frac{1}{q} \sqrt{(z' - qz, z' - qz)} = \frac{1}{q} \sqrt{z'^2 + q^2 z^2 - 2q(z, z')}$$

$$= \frac{1}{q} \sqrt{z'^2 + z^2 - (z, z')(z, z') + (q^2 - 1)z^2 - 2q(z, z')}$$

$$\leq \frac{1}{q} \sqrt{\varepsilon^2 + (q-1)(\|z\|^2 - 2\operatorname{Re}(z, z'))} \sim \frac{\sqrt{\varepsilon^2}}{1 \pm \varepsilon}$$

$$\leq \varepsilon, \quad \text{also } \varepsilon = \frac{1}{2} \sqrt{\varepsilon^2 + (q-1)(\|z\|^2 - 2\operatorname{Re}(z, z'))}$$



$$\therefore \|z' - z\|$$

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$$\leq \frac{1}{q} \sqrt{\varepsilon^2 + (q-1) [(q+1) - 2\operatorname{Re}(z, z')]} \quad \text{where } q > 1 - \varepsilon \text{ and } q < 1 + \varepsilon$$

$$\leq \frac{1}{1-\varepsilon} \sqrt{\varepsilon^2 + (q-1) [(q+1) - 2\operatorname{Re}(z, z')]} \quad \therefore q-1 > -\varepsilon \text{ and } q-1 < \varepsilon$$

$$\text{and } \|z' - z\| \leq \varepsilon \quad \therefore \|z'\| \leq \varepsilon \quad \text{and } \|z'\| = 1$$

$$\text{where } (z' - z, z' - z) \leq \varepsilon^2$$

$$\text{or } z'^2 + z^2 - 2\operatorname{Re}(z, z') \leq \varepsilon^2$$

$$\text{or } q^2 + 1 - 2\operatorname{Re}(z, z') \leq \varepsilon^2$$

$$\therefore q^2 - q + [(q+1) - 2\operatorname{Re}(z, z')] \leq \varepsilon^2$$

$$\text{or } [(q+1) - 2\operatorname{Re}(z, z')] \leq \varepsilon^2 + q(1-q)$$

$$\text{now } \|z\| - q \leq \varepsilon - 1$$

$$\therefore 1 - q \leq \varepsilon \quad \text{and } 1 - q \geq -\varepsilon \quad \therefore \|z\| \leq \varepsilon$$

$$\leq \frac{\varepsilon^2 + (1+\varepsilon)\varepsilon}{\varepsilon + 2\varepsilon^2}$$

$$\text{we also know that } \|z' - z\|^2 = q^2 + 1 - 2\operatorname{Re}(z, z') \geq 0$$

$$\text{so } (q+1) - 2\operatorname{Re}(z, z') \geq q(1-q) \geq (1-\varepsilon)(-\varepsilon) = -\varepsilon(1-\varepsilon) = -\varepsilon + \varepsilon^2$$

$$\begin{aligned} & \left| (q+1) - 2 \operatorname{Re}(z, z') \right| \\ & \leq \max(\varepsilon + 2\varepsilon^2, \varepsilon - \varepsilon^2) \\ & = \varepsilon + 2\varepsilon^2 \end{aligned}$$

$$\therefore \|z'' - z\|$$

$$\leq \frac{1}{1-\varepsilon} \sqrt{\varepsilon^2 + |q-1| \cdot \left| (q+1) - 2 \operatorname{Re}(z, z') \right|}$$

$$= \frac{1}{1-\varepsilon} \sqrt{\varepsilon^2 + \varepsilon(\varepsilon + 2\varepsilon^2)}$$

$$= \frac{1}{1-\varepsilon} \sqrt{2\varepsilon^2(1+\varepsilon)}$$

$$= \frac{\varepsilon}{1-\varepsilon} \sqrt{2(1+\varepsilon)} = \varepsilon'' \text{ say}$$

$$\text{where } \varepsilon'' = \frac{\varepsilon \sqrt{2(1+\varepsilon)}}{1-\varepsilon}$$

can be made as small as we like by making  $\varepsilon$  sufficiently small



or write  $Q'' = \frac{Q'}{\langle Q' \rangle_4}$

then by construction  $\langle Q'' \rangle_4 = 1$

so we have  $\sum_i w_i' = 1$

where  $w_i' = \frac{w_i}{\langle Q' \rangle_4}$

and then, given  $\|Q' - Q\| \leq \varepsilon_2$

we can show

$$\|Q'' - Q\| \leq \varepsilon_3$$

$$C = \left\| \frac{Q' - Q}{2} \right\|$$

$$2 = \langle Q' \rangle_4 \approx 1 \pm \varepsilon$$

$$= \left\| \frac{Q' - 2Q}{2} \right\| = \frac{1}{2} \|Q' - 2Q\|$$

and then use similar analysis as for  $Q''$ .

$Q''$  and  $Q'''$  are the operators & vectors used by Jordan (1987) — see his proof as compared with  $Q'$  and  $Q$  with  $\varepsilon = 2\varepsilon_1 + \varepsilon_2$

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Ther →

$$|a - b| \leq \epsilon_2$$



$$a > b \quad a - b \leq \epsilon_2$$

$$a \leq b + \epsilon_2$$

$$a > b \quad a - \epsilon_2$$

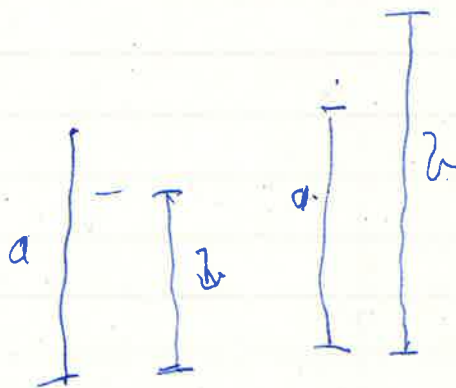
~~a >~~

$$b > a - \epsilon_2$$

$$a < b \quad b - a \leq \epsilon_2$$

$$b \leq a + \epsilon_2$$

$$b > a \quad a > a - \epsilon_2$$



$$b > a - \epsilon_2$$

$$ad. \quad b \leq a + \epsilon_2$$

$$\sqrt{a - b}$$

$\leq$



Froederberg's bound says

$$\langle P_1, P_2 \rangle_4 = \langle P_1 \rangle_4 \langle P_2 \rangle_4$$

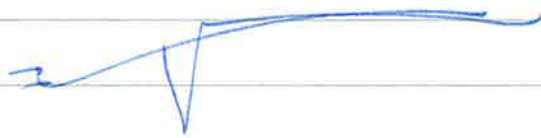
$$\leq e^{-mT} \sqrt{\|P_1\|_4^2 \cdot \|P_2\|_4^2}$$

$$= e^{-mT} \cdot \|P_1\|_4 \cdot \|P_2\|_4$$

$$= e^{-mT} \sqrt{\langle P_1 \rangle_4 \cdot \langle P_2 \rangle_4}$$

$$\therefore c(P_1, P_2) \leq e^{-mT} \frac{1}{\sqrt{(1-\langle P_1 \rangle_4)(1-\langle P_2 \rangle_4)}}$$

of Bellard's saturation bound for  $c(P_1, P_2)$



For Lovasz's two regions:

$$\sqrt{\frac{\langle P_1 \rangle_4 \cdot (1-\langle P_2 \rangle_4)}{(1-\langle P_1 \rangle_4) \cdot \langle P_2 \rangle_4}} \leq e^{-mT} \frac{1}{\sqrt{(1-\langle P_1 \rangle_4)(1-\langle P_2 \rangle_4)}}$$

$$\text{I.P. } \langle P_1 \rangle_4 \leq \frac{e^{mT} \cdot \langle P_2 \rangle_4}{(1-\langle P_2 \rangle_4)^2}$$


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$$= \left( \frac{\sqrt{L(P_1)_4} \cdot \sqrt{1 - L(P_1)_4}}{(1 - 2L(P_1)_4) L(P_1)_4} \right)^{1/2}$$

under the conditions of Theorem 3'.

For fixed  $L(P_1)_4$ ,  $L(P_1)_4$  this is the maximum possible for the correlation coefficient. It becomes equal to one only if  $L(P_1)_4 = L(P_1)_4$ .

as we shall see later, in order to select a  $P_1$  satisfying Theorem 3' we require in general  $L(P_1)_4 \leq L(P_1)_4 \leq 1$ .

Under these conditions the maximum possible value for  $C(P_1, P_1)$  is extended approximately

as  $\sqrt{\frac{L(P_1)_4}{L(P_1)_4}}$ , so the large conditional

probability  $\text{Prob}(P_1 | P_1)$  is arrived at consistently with a low value of the correlation coefficient. It is important to realize that it is the large value of the conditional probability that is important for our argument, not a large value for the correlation coefficient.